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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/CA96/00815 <b>(22) International Filing Date:</b> 6 December 1996 (06.12.96)  <b>(30) Priority Data:</b> 9525180.7 8 December 1995 (08.12.95) GB  <b>(71) Applicant (for all designated States except US):</b> MCGILL UNIVERSITY [CA/CA]; 845 Sherbrooke Street West, Montréal, Québec H3A 1B1 (CA).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> SARAGОВI, H., Uri [US/CA]; 342 Lansdowne Avenue, Westmount, Québec H3Z 2L4 (CA). LeSAUTER, Lynne [CA/CA]; 210 Coronation, Rosemère, Québec J7A 1P3 (CA).  <b>(74) Agent:</b> COTE, France; Swabey Ogilvy Renault, Suite 1600, 1981 McGill College Avenue, Montréal, Québec H3A 2Y3 (CA).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> With international search report.
<b>(54) Title:</b> DESIGN OF HORMONE-LIKE ANTIBODIES WITH AGONISTIC AND ANTAGONISTIC FUNCTIONS  <b>(57) Abstract</b>  The present invention relates to an agonistic anti-human TrkA mAb 5C3 which recognizes the NGF docking site. Such antibodies may be used for the treatment, diagnosis or prevention of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors. Also these antibodies may be used to develop and screen for pharmaceutical agents which are agonistic or antagonistic to NGF binding to the TrkA receptors.		

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DESIGN OF HORMONE-LIKE ANTIBODIES WITH AGONISTIC AND  
ANTAGONISTIC FUNCTIONS

BACKGROUND OF THE INVENTION

5 (a) Field of the Invention

The invention relates to a method of designing agonistic and/or antagonistic antibodies for any hormone receptor more specifically antibodies which are capable of blocking nerve growth factor (NGF) binding and antibodies which can mimic NGF binding to its receptor.

(b) Description of Prior Art

The TrkA receptor is a 140 kDa transmembrane glycoprotein with tyrosine kinase activity that functions as the Nerve Growth Factor (NGF) receptor. NGF also binds with low affinity to a p75 receptor whose signaling function is unclear. Homo or heterodimers or oligomers of TrkA and p75 bind NGF with higher affinity (Jing, S. et al. (1992) *Neuron*, 9: 1067-1079) suggesting that specific receptor conformations may play specific functions.

NGF promotes the differentiation of certain neuronal cells, is mitogenic for TrkA-transfected fibroblasts, and allows survival in serum-deprived conditions for both cell types. Activation of the tyrosine kinase activity of TrkA via NGF binding leads to receptor trans- and auto-tyrosine phosphorylation (PY), and PY of second messengers including phosphatidylinositol-3 kinase (PI-3 kinase). PI-3 kinase is involved in protein trafficking and endocytosis of ligand-receptor complexes (reviewed by Kaplan, D.R. et al. (1994) *J. Neurobiol.*, 25: 1404-1417). Since microinjection of NGF into cells does not result in NGF biological signals, cell surface receptor ligation and internalization of TrkA or NGF-TrkA complexes must mediate these effects.

TrkA, like most kinase growth factor receptors, signals through receptor oligomerization. Thus, monovalent TrkA-binding agents are antagonistic or have no biological effects (LeSauter, L. et al.(1995) *J. Biol. Chem.*, **270**:6564-6569), whereas bivalent receptor-binding agents such as NGF (a homodimer) or antibodies can be agonistic. The principle of using polyclonal antibodies to activate neural receptors has been demonstrated (Twyman, R.E. et al. (1995) *Neuron*, **14**: 755-762). In contrast, only a limited number of anti-receptor monoclonal antibodies (mAb) mimic ligand functions (Taub, R. et al. (1992) *Biochemistry*, **31**: 7431-7435), and none exist against neurotrophin receptors.

It would be highly desirable to be provided with an agonistic or antagonistic anti-human TrkA mAb which recognizes the NGF docking site. Such antibodies may be used for the diagnosis, treatment or prevention of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors. Also these antibodies may be used to develop and screen for pharmaceutical agents which are agonistic or antagonistic by binding to the TrkA receptors.

## **SUMMARY OF THE INVENTION**

One aim of the present invention is to report the development and characterization of an agonistic anti-human TrkA mAb 5C3 which recognizes at least one NGF docking site. This MAb 5C3 was used to characterize the pattern of TrkA protein expression in normal human brain, and the NGF binding features of the receptor. MAb 5C3 behaves like NGF in bioassays, and monomeric 5C3 Fabs retained binding and functional agonistic activity. MAb 5C3 will be useful to identify the NGF docking site on TrkA and possibly as a

pharmacological lead in the development of small mimetics.

In accordance with the present invention there is provided an antibody or functional fragment thereof  
5 which binds to at least the TrkA receptor under physiological conditions, and wherein the binding to the receptor at least partially mimics or inhibits nerve growth factor biological activity.

In accordance with the present invention there  
10 is also provided a method of screening pharmacological agents which are capable to mimic or inhibit nerve growth factor biological activity, which comprises using the antibody of the present invention to screen for pharmacological agents capable of binding to the  
15 complementary determining region of the antibody, wherein the screened pharmacological agents can mimic or inhibit nerve growth factor biological activity.

In accordance with the present invention there is also provided the use of pharmacological agents  
20 obtained by the process of the present invention, for the *in vivo* inhibition of nerve growth factor binding to TrkA receptor or the internalization or downmodulation of the receptor.

In accordance with the present invention there  
25 is also provided the use of the antibody of the present invention, for the *in vivo* inhibition of nerve growth factor binding to TrkA receptor or the internalization or downmodulation of the receptor, such as for inhibiting tumor growth *in situ*, for the treatment  
30 or prevention of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors, for mapping hormone-receptor interactive sites and receptor domain-function correlation such as mapping TrkA docking sites, for screening pharmacological agents  
35 which bind to the hormone-receptor interactive sites.

In accordance with the present invention there is also provided an antibody having CDR-like domains of hormones, wherein the antibody or functional fragment thereof binds to at least TrkA receptor under physiological conditions, and wherein the binding to the receptor at least partially mimics or inhibits nerve growth factor biological activity.

In accordance with the present invention there is also provided a method for the treatment of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors in a patient, which comprises administering an effective amount of an antibody of the present invention or a functional fragment thereof to a patient.

In accordance with the present invention there is also provided a pharmaceutical composition for the treatment of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors, which comprises an effective amount of an antibody of the present invention or a functional fragment thereof in association with a pharmaceutically acceptable carrier.

In accordance with the present invention there is also provided a method for immunization of a mammal against an antibody of the present invention or a functional fragment thereof, which comprises administering by systemic injection an immunizing amount of at least one of the antibody or the fragment thereof in an immunogenic form in association with a pharmaceutically acceptable carrier.

In accordance with the present invention there is also provided a method for the prognosis or diagnosis of human tumors which comprises:

a) biopsy and immunocytochemistry of tumors using the antibody of the present invention and fragments thereof;

or

- 5       b) radiolabeling of the antibody of the present invention and fragments thereof and nuclear imaging analysis.

In accordance with the present invention there is also provided a method for the treatment of human  
10 tumor of a patient which comprises the steps of:

- a) coupling cytotoxic agents to the antibody of the present invention and fragments thereof;  
b) administering the coupled antibody of step a) to the patient.

15       In accordance with the present invention there is also provided a pharmaceutical composition for the targeting of pharmaceutical agents to tissues of the central and/or peripheral nervous system, which comprises an effective amount of an antibody of the  
20 present invention or a functional fragment thereof coupled to a pharmaceutical agent in association with a pharmaceutically acceptable carrier. The pharmaceutical agent may be selected from the group consisting of radioligands, nucleic acid molecules,  
25 toxins, growth factors and gangliosides.

In accordance with the present invention there is also provided a TrkA docking site which binds to the antibody of the present invention.

In accordance with the present invention there  
30 is also provided the use of the docking site of the present invention for screening pharmacological agents which are capable to mimic or inhibit nerve growth factor biological activity and said antibody biological antibody.



**BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 illustrates surface immunofluorescence studies with mAb 5C3;

Fig. 2 illustrates the direct detection of p140 TrkA by western blotting;

Fig. 3 illustrates TrkA immunoreactivity in normal human brain;

Fig. 4 illustrates the induction of TrkA-tyrosine phosphorylation by 5C3;

Fig. 5 illustrates 5C3 binding studies and Scatchard plot analysis;

Fig. 6 illustrates the protection from apoptotic death by 5C3 and 5C3 Fabs;

Fig. 7 illustrates nuclear imaging of tumors *in vivo* with 5C3;

Fig. 8 illustrates the survival of TrkA-expressing cells in serum-free media by 5C3 and derivatives;

Fig. 9 illustrates the differentiation/neurogenesis of human TrkA-expressing cells in serum media;

Fig. 10 illustrates Mab5C3 prevents TrkA-expressing tumor growth *in vivo*; and

Fig. 11 illustrates the topography of the CDRs of the present invention.

**DETAILED DESCRIPTION OF THE INVENTION**

Monoclonal antibody (mAb) 5C3 directed against human p140 TrkA is a structural and functional mimic of NGF and an artificial receptor agonist. MAb 5C3 binds in the Nerve Growth Factor (NGF) docking site, and like NGF it promotes TrkA internalization; TrkA and phosphatidylinositol-3 kinase tyrosine phosphorylation; and increased transformation of TrkA-expressing fibroblasts. More importantly, mAb 5C3 protects human TrkA-expressing cells from apoptotic

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death in serum-free media. Interestingly, agonistic activity is observed with monomeric Fab 5C3 fragments. The affinity of mAb 5C3 is ~2 nM and was used to study features of ligand binding by TrkA and the distribution of TrkA protein in normal human brain.

**Antibodies:**

Female Balb/c mice were immunized with human TrkA, and splenocytes fused to SP2/0 myelomas. Hybridomas were screened by differential binding between untransfected and TrkA-transfected cells using a Fluorescent Activated Cell Scanner™ (FACScan) (Becton Dickinson, CA). MAb 5C3 was identified and subcloned 3 times. Rat anti-mouse IgG ( $\alpha$ mIgG) (Sigma, Saint Louis, MO), anti-phosphotyrosine mAb 4G10 (UBI, Lake Placid, NY), and anti-PI-3 kinase polyclonal serum (UBI) were purchased, mouse anti-rat p75 mAb MC192 ascites were a gift from P. Barker, and anti-p65 mAb 87.92.6 was grown in the laboratory.

**Monomeric mAb 5C3 Fabs:**

MAb 5C3 was purified (1 mg/ml) with Protein G-Sepharose™ (Sigma) and digested with papain (10  $\mu$ g/ml) (GIBCO, Toronto, Ontario). Fabs were repurified on KappaLock-Sepharose™ (UBI) and Protein G-Sepharose™ and dialyzed against phosphate buffered saline (PBS). All products were characterized by SDS-PAGE under non-reducing or reducing conditions (100 mM 2-mercaptoethanol) to >98% purity. Control Fabs from anti-rat p75 mAb MC192 were similarly prepared.

**Cell lines:**

Mouse SP2/0 myelomas, mouse R1.1 and EL4 thymomas, mouse NIH-3T3 fibroblasts, mouse 2B4 T cell hybridomas, NGF responsive-rat PC12 pheochromocytoma cells, human Jurkat T lymphomas, and human HeLa fibroblasts were used. NIH-3T3 cells transfected either with human pl40trkA cDNA (E25 cells), with p75 cDNA

(Z91 cells), or p75 and pl40trkA cDNAs (R7 cells) (Jing, S. et al. (1992) *Neuron*, 9: 1067-1079). The trk negative rat B104 neuronal cell line (expressing endogenous rat p75), and B104 transfected with human trkA cDNA (4-3.6 cells, expressing human TrkA and rat p75) were kindly provided by Dr. E. Bogenmann (Bogenmann, E. et al. (1995) *Oncogene*, 10: 1915-1925). PC12 cells transfected with human trkA cDNA were obtained from Dr. Kaplan. All cells were cultured in RPMI media supplemented with 5-10% Fetal Bovine Serum (FBS) and antibiotics (GIBCO). Transfectants were added the appropriate drug selection.

**FACScan:**

5x10<sup>5</sup> cells in 0.1 ml of binding buffer (Hanks' Balanced Salt Solution (HBSS), 0.1% BSA, 0.1% NaN<sub>3</sub>) were incubated with the indicated concentration of mAbs or Fabs for 30 min at 4°C, washed in binding buffer to remove excess primary antibody, and immunostained with fluoresceinated (FITC) goat anti-mouse IgG (FITC-G $\alpha$ mIgG), or anti-mouse Fab (FITC-G $\alpha$ mFab) (Sigma) secondary antibody for 30 min at 4°C. Cells were acquired and analyzed on a FACScan (Becton Dickinson, CA) using the LYSIS II™ program. As negative controls (background fluorescence) either mouse IgG (Sigma), mAb 192 or 192 Fabs were used as primary, followed by appropriate secondary.

**Characterization of mAb 5C3:**

FACScan analysis of non-permeabilized cells demonstrated that mAb 5C3 recognizes the extracellular domain of human TrkA receptors (Table 1, Fig. 1A).

**TABLE 1**  
**Surface phenotyping with mAb 5C3**

CELLS	5C3 BINDING
E25 (hTrkA)	+++++
R7 (hTrkA/p75)	+++
Z91 (p75)	-
4-3.6 (hTrkA/p75)	+++
B104 (p75)	-
PC12 (rTrkA/p75)	-
transient NIH-3T3 transfections	
<i>htrkA</i> cDNA	++
<i>htrkB</i> cDNA	-
<i>rtrkB</i> cDNA	-
<i>rtrkA</i> cDNA	-

- 5           The indicated cell lines expressing human TrkA (hTrkA), rat TrkA (rTrkA) and/or p75 were analyzed by surface immunofluorocytometry with mAb 5C3 versus control mIgG. Transient transfections (48 hrs) were done by electroporation of cDNAs. Relative intensities of
- 10 staining are indicated as +++++ (high staining) or - (no staining) (see Fig. 1). Saturating doses of mAb were used, and differences represent receptor number. Other cells tested include wild type NIH-3T3, Jurkat, R1.1, EL4, 2B4, and HeLa cells which are all negative.
- 15           Human TrkA-transfectant lines 4-3.6, E25, and R7, bound mAb 5C3. In contrast, rat PC12 (expressing rat TrkA and rat p75), rat B104 (parental cells of 4-3.6, expressing rat p75), Z91 (NIH-3T3 transfected with p75), wild type NIH-3T3, or NIH-3T3 cells tran-
- 20 siently transfected with human *trkB*, rat *trkA*, or rat *trkB* cDNA did not bind mAb 5C3. Thus, mAb 5C3 is specific for human TrkA, and co-expression of rat or human p75 does not interfere with binding.

The concentration of mAb 5C3 required to saturate TrkA receptors was determined in E25 cells by testing increasing amounts of antibody in FACScan assays (Fig. 1A). Similar analysis with mAb 5C3 Fabs demonstrated that specificity and saturability were similar to that obtained with intact mAb but that 3-fold lower Fab protein concentrations were required (Fig. 1B). Since the molecular weight of 5C3 Fab is 3-fold lower than 5C3 IgG (~50 versus ~150 kDa), equimolar concentrations were required for saturation.

E25 cells expressing human TrkA were analyzed by indirect immunofluorescence in a FACScan with various doses of mAb 5C3 or 5C3 Fabs to assess ligand concentrations that achieve receptor saturation.

(Fig. 1A) mAb 5C3 doses: 0.02  $\mu\text{g/ml}$  (thick line, c); 0.2  $\mu\text{g/ml}$  (thin line, b); 2  $\mu\text{g/ml}$  (dotted line, a). For background fluorescence mIgG at 2  $\mu\text{g/ml}$  (crossed line, d) was used. (Fig. 1B) 5C3 Fabs doses: 0.007  $\mu\text{g/ml}$  (thick line, c); 0.07  $\mu\text{g/ml}$  (thin line, b); 0.7  $\mu\text{g/ml}$  (dotted line, a). For background fluorescence 192 Fab at 0.7  $\mu\text{g/ml}$  (crossed line, d) was used. Increased fluorescence intensity (X axis of histograms) reflect increased staining by mAb 5C3 or 5C3 Fabs. The areas under the curves represent the total number of cells acquired for each sample (constant 5000 cells). Histogram heterogeneity is due to individual cell receptor density.

Western blot analysis with mAb 5C3 revealed heterogeneous material of  $M_r$  140,000 (p140) for samples from E25 and 4-3.6 cells, but not for control cells (Fig. 2A). In these cells a band of ~110 kDa (p110) was also observed, previously thought to be intracellular TrkA precursors. The p140 band was also immunoblotted in samples dissected from normal human cortex or nucleus basalis of Meynert (Fig. 2B). The

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pll0 band was not seen perhaps due to different post-translational processing in neuronal tissues with respect to transfected cell lines. MAb 5C3 was effective in western blot analysis only when samples were  
5 prepared under non-reducing or mildly reducing conditions, indicating that a disulfide bond-stabilized conformational epitope is recognized.

Whole cell detergent lysates ( $2 \times 10^6$  cell equivalents/lane) were resolved by SDS-PAGE under  
10 non-reducing conditions and analyzed by western blotting with mAb 5C3. (Fig. 2A) Lane 1, Jurkat; lane 2, PC12; lane 3, NIH-3T3; lane 4, R1.1; lane 5, Z91; lane 6, E25. Thick arrow, p140 TrkA; thin arrow, pll0. (Fig. 2B) Dissected human brain tissues: nucleus  
15 basalis (NB; lanes 1, 2, 6, 7), and cortex (Fig. 2C; lanes 3, 4, 8, 9) were compared to E25 cells (lane 5;  $2 \times 10^5$  cell equivalents). Lanes 1 and 3, 300  $\mu\text{g}/\text{lane}$ ; lanes 2 and 4, 150  $\mu\text{g}/\text{lane}$ ; lanes 6 and 8, 75  $\mu\text{g}/\text{lane}$ ; lanes 7 and 9, 33  $\mu\text{g}/\text{lane}$ . Thick arrow, p140 TrkA;  
20 thin arrow, pll0. Note that pll0 is not seen in the human brain tissues.

### **Biochemical analysis:**

#### Cell lysates

25  $33 \times 10^6$  cells/ml were detergent solubilized (lysis buffer 2% NP-40™, 150 mM NaCl, 50 mM Tris-Glycine, 10 mM NaF, 50  $\mu\text{M}$   $\text{Na}_3\text{VO}_4$ , 30 mM Na Pyrophosphate 10 mM benzamidine, 20 mM iodoacetamide, pH 7.8) supplemented with protease inhibitors (2  $\mu\text{g}/\text{ml}$   
30 soybean trypsin inhibitor, 10  $\mu\text{g}/\text{ml}$  aprotinin, 5 mM PMSF, and 10  $\mu\text{g}/\text{ml}$  leupeptin) for 30 min at 4°C, followed by a 15 minute centrifugation at 14,000 g. Cleared supernatants were analyzed by SDS-PAGE  
35 directly (whole cell lysates) or after immunoprecipitation.

### Gel analysis

Cell lysates were prepared in Laemmli electrophoresis sample buffer and analyzed by SDS-PAGE under reducing (100 mM 2-mercaptoethanol) or non-reducing conditions. Prestained protein markers (GIBCO) were used as reference. Protein concentrations were quantitated by the biuret assay (Bio-Rad, Melville, NY), and by parallel Coomassie blue staining of SDS-PAGE gels. For western blotting samples were electrotransferred to PVDF (Xymotech Biosystems, Mt. Royal, QC), blocked overnight in TBST (0.05 M Tris base, 0.2 M NaCl, 0.5% Tween™-20, pH 7.6) containing 1% BSA (Sigma), and immunoblotted with the indicated primary mAbs. Secondary antibodies were either horseradish peroxidase (HRP) conjugated goat anti-rabbit IgG (HRP-GαR), or goat anti-mouse IgG (HRP-GαM) (Sigma). For detection the enhanced chemiluminescence (ECL) reagents (Amersham, Oakville, Ont.) were used following the manufacturer's instructions. Densitometric analysis was performed with a Masterscan Interpretive Densitometer CSPI™ and a Howtek Scanmaster™ (Scanalytics, Billerika, MA).

### **Binding, competition, and internalization assays:**

MAb 5C3 was <sup>125</sup>I-labeled by the Iodogen (Pierce) method (Harlow, E., Lane, D. (1988) *A Laboratory Manual*. Cold Spring Harbour Laboratory Publishing. Chapter 9:332-333) to a specific activity of 1.8 mCi/mg. <sup>125</sup>I[5C3] was repurified from free <sup>125</sup>I with Sephadex G25 columns (15x1 cm) to >96% trichloroacetic acid precipitable incorporation. Binding studies were performed with serial dilutions of <sup>125</sup>I[5C3] on 0.5x10<sup>6</sup> E25 or 4-3.6 cells (and their respective controls NIH-3T3 and B104 cells) for 1 hour at 4°C. Cell-associated <sup>125</sup>I[5C3] and free <sup>125</sup>I[5C3] were counted after washing unbound ligand. Parallel

$^{125}\text{I}[\text{NGF}]$  (70 mCi/mg) (NEN-DuPont, Mississauga, Ont.) binding assays were performed as control. Competition of  $^{125}\text{I}[5\text{C}3]$  binding was done in binding assays in the presence of unlabeled mAb 5C3 (100-fold molar excess),  
 5 or unlabeled NGF (500-fold molar excess).

Competition of  $^{125}\text{I}[\text{NGF}]$  binding was performed by first incubating cells with excess unlabeled mAb 5C3, NGF, mAb 87.92.6 or vehicle binding buffer for 30 min at 4°C.  $^{125}\text{I}[\text{NGF}]$  was then added to a final saturating concentration of ~1 nM, the mixtures incubated  
 10 for an additional 45 min at 4°C, cells were washed and cell-associated  $^{125}\text{I}[\text{NGF}]$  were determined.

Based on the competition assays above, it was desirable to identify the mAb 5C3 receptor docking site which is presumed to be a functional or energy-favorable site where the ligand-binding causes receptor-mediated signals.  
 15

This view is supported by similar findings in the EPO receptor system (Wrighton et al., 1996, Science, 273:458) wherein a "docking hot spot" was found; and by our finding that mAb 5C3 and NGF block each other's binding.  
 20

The docking site of mAb 5C3 was identified within the juxtamembrane/IgG2 domain of human TrkA  
 25 receptors.

The sequence is as follows:

Gln	Val	Asn	Val	Ser	Phe	Pro	Ala	Ser	Val	Gln	Leu	His	Thr	Ala	Val
1				5					10					15	
Glu	Met	His	His	Trp	Ser	Ile	Pro	Phe	Ser	Val	Asp	Gly	Gln	Pro	Ala
			20					25					30		
Pro	Ser	Leu	Arg	Trp	Leu	Phe	Asn	Gly	Ser	Val	Leu	Asn	Glu	Thr	Ser
35		35				40						45			
Phe	Ile	Phe	Thr	Glu	Phe	Leu	Glu	Pro	Ala	Ala	Asn	Glu	Thr	Val	Arg
	50					55						60			



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His Gly Cys Leu Arg Leu Asn Gln Pro Thr His Val Asn Asn Gly Asn  
 65 70 75 80  
 Tyr Thr Leu Leu Ala Ala Asn Pro Phe Gly Gln Ala Ser Ala Ser Ile  
 5 85 90 95  
 Met Ala Ala Phe Met Asp Asn Pro Phe Glu Phe Asn Pro Glu Asp Pro  
 100 105 110  
 10 Ile Pro Asp Thr Asn Ser Thr Ser Gly Asp Pro Val Glu Lys Lys Asp  
 115 120 125  
 Glu Thr Pro  
 130 (SEQ ID NO:9)

15 For receptor internalization studies cells were  
 incubated with TrkA-binding agents (0.01  $\mu$ g mAb 5C3;  
 2 nM NGF) or controls (mIgG; HBSS) for 20 min either  
 at 37°C (internalization permissive temperature) or at  
 4°C (internalization non-permissive temperature).  
 20 After washing, cells were processed for surface TrkA  
 immunofluorescence with mAb 5C3 primary and FITC-GamIgG  
 secondary as above, and analyzed by FACScan.

#### Binding studies:

25 Scatchard plot analysis of  $^{125}$ I[5C3] binding  
 assays demonstrated that in the E25 cell surface there  
 are ~250,000 5C3 binding sites/cell with a  $K_d$  of  
 1.6 nM (Fig. 5), and in the 4-3.6 cell surface there  
 are ~200,000 5C3 binding sites/cell with a  $K_d$  of  
 3.0 nM.

30 Serial dilutions  $^{125}$ I[5C3] without competition  
 (open triangles) were used in binding studies with a  
 constant number of E25 cells. Binding was competed  
 with molar excess of unlabeled NGF (filled circles) or  
 mAb 5C3 (open squares). In 3 independent experiments  
 35 the average  $K_d$  of mAb 5C3 in E25 cells was 1.6 nM.  
 Competition with NGF reduced the number of 5C3 binding  
 sites in E25 cells but the affinity of mAb 5C3 was not  
 affected.

No  $^{125}$ I[5C3] binding was observed for parental  
 40 NIH-3T3 or B104 cells. NGF competition reduced the

number of 5C3 binding sites in E25 cells by 25%. However, NGF caused no change in the affinity of mAb 5C3 for TrkA receptors. Similar data was obtained measuring mAb 5C3 binding sites by FACScan analysis, where a decrease was observed after NGF treatment (Table 2).

**TABLE 2****mAb 5C3-induced TrkA receptor internalization**

TREATMENT	TEMP.(°C)	% 5C3 STAINING
NGF (2 nM)	4°C	83 ± 2.0
	37°C	75 ± 3.6
5C3 (0.01 µg/ml)	4°C	96 ± 9.0
	37°C	77 ± 5.5

10

TrkA surface immunostaining was performed on 4-3.6 cells with mAb 5C3 after the indicated treatments, and measured by FACScan analysis. Data is presented as % staining ± sem, with reference to control vehicle treatment (100%) as per the following formula:

15

$$\frac{(\text{Treated sample staining} - \text{mIgG background staining})}{(\text{maximum staining} - \text{mIgG background staining})} \times 100\%$$

20

In the converse experiment, mAb 5C3 inhibited ~60% of  $^{125}\text{I}$ [NGF] binding to E25 cells. In these experiments background binding was assessed by blocking with 5 µM NGF (100% inhibition), and maximal binding was assessed with binding buffer vehicle only (0% inhibition) or by using irrelevant binding mAb 87.92.6 (Table 3).

25

**TABLE 3****mAb 5C3 blocks NGF binding to TrkA**

TREATMENT	% NGF BINDING
mAb 5C3	39.3 ± 7.4
mAb 87.92.6	100
NGF (5 µM)	0

E25 cells expressing TrkA (but not p75 receptors) were incubated with  $^{125}\text{I}$ [NGF] in the presence of the indicated agents.  $^{125}\text{I}$ [NGF] binding after treatment with mAb 87.92.6 was identical to treatment with vehicle binding buffer. Assays were done 3 times in duplicate. Data is expressed as % binding  $\pm$  standard deviation (sd), where mAb 87.92.6 is maximum and 5  $\mu\text{M}$  NGF is background binding as per the formula:

$$\frac{(\text{test} - \text{background}) * 100 \%}{(\text{maximum} - \text{background})}$$

#### **Proliferation/survival assays:**

5,000 cells/well in serum-free media (SFM) (GIBCO) supplemented with 0.1% BSA were added to 96 well plates (Falcon, Lincoln Park, NJ) containing serial dilutions of NGF, mAb 5C3, control mAbs, mAb 5C3 Fab fragments, control mAb 192 Fab fragments or serum (final 5% FBS, normal growth conditions). Where indicated, Fabs were externally cross-linked with goat anti-mouse Fab (GamFab, Sigma). Wells containing all culture conditions but no cells were used as blanks. The proliferative/survival profile of the cells was quantitated using the tetrazolium salt reagent (MTT, Sigma) 48-72 hours after plating as initially described by T. Mosmann (Hansen, M.B. et al. (1989) *Jour. Immunol. Meth.*, 119: 203-210). Optical density readings of MTT were done in an EIA Plate Reader Model 2550™ (Bio-Rad) at 600 nm with the blanks subtracted. Assays were repeated at least 5 times in quadruplicates.

#### **Foci formation assays:**

$15 \times 10^4$  E25 cells were plated in a 25% serum-containing 0.35% soft agar mixture in the presence of either mIgG control (0.5  $\mu\text{g}/\text{ml}$ ), mAb 5C3 (0.5

µg/ml), or NGF (2 nM). Conditions were replenished every 3 days and foci were counted after two weeks.

**Immunocytochemistry of human brain tissues:**

Human brain tissue was obtained from six males  
5 (age  $71.7 \pm 4.6$ ) without signs of neurologic or psychiatric disorders. Tissue blocks were prepared (mean time post-mortem  $16.2 \pm 3.5$  hrs) and stored at  $-80^{\circ}\text{C}$ . Twenty µm thick cryostat sections were fixed (4% paraformaldehyde, 0.1 M phosphate, pH 7.4; 1 hour at  
10  $4^{\circ}\text{C}$ ), and rinsed in PBS for 1 hour at  $4^{\circ}\text{C}$ . Immunocytochemistry was performed using avidin-biotin complex (Vectastain Elite™ kit, Vector Labs) as described (Hsu, S-M. et al. (1981) *J. Histochem. Cytochem.*, 29: 577-580). Primary mAb 5C3 was used either as a 1:1000-  
15 1:4000 dilution of ascites or a 1:4 dilution of serum free-media culture supernatant. Where indicated, 0.5% nickel ammonium sulfate was used to amplify the signal in the DAB revelation step. Some sections were also stained with cresyl violet to facilitate the  
20 cytoarchitectural analysis. Negative controls were performed without primary antibody or with normal mouse IgG as primary and in all cases yielded no detectable immunolabeling.

**Immunostaining in normal human brain:**

25 MAb 5C3 was used to map TrkA protein expression by immunocytochemistry of normal adult human brains. The striatum, the basal forebrain and the brainstem exhibited the strongest immunostaining, whereas only weak staining could be detected in the cerebral cortex  
30 and hippocampal formation (Fig. 3).

Figs. 3A and 3B illustrate low power photomicrographs of the nucleus basalis of Meynert showing large neurons (arrows) immunoreactive with mAb 5C3 (Fig. 3A) but lacking immunoreactivity with normal  
35 mouse IgG (Fig. 3B) in a consecutive section. Note in

A that the labeled neuronal processes can often be followed (small arrows). Scale bar = 50  $\mu$ m.

Figs. 3C, 3D, and 3E illustrate high power photomicrographs of TrkA-containing neurons in the nucleus basalis (Fig. 3C), the putamen (Fig. 3D), and the CA4 sub-field of the hippocampus (Fig. 3E). The perinuclear area displayed particular strong concentration of DAB precipitate (small arrows) often in granules. Labeled proximal processes could also be observed (arrows). n, nucleus. Scale bars = 10  $\mu$ m.

Fig. 3F illustrates in the pontine nuclei many weakly to strongly staining neurons (arrows) within the fiber network (small arrows) and around the non-labeled fiber bundles (Fig. 3F). Scale bar = 20  $\mu$ m.

Fig. 3G illustrates in the reticular formation of the brainstem numerous fibers (small arrows) constitute a network where some scattered neurons (arrow) are observed. Scale bar = 20  $\mu$ m.

Fig. 3H illustrates a photomicrograph of TrkA immunoreactivity in the frontal cerebral cortex showing weak labeling. A few neurons are weakly positive (arrows) with the staining residing mostly in puncta possibly corresponding to fibers (small arrows). Scale bar = 10  $\mu$ m.

All sectors of the basal nucleus contained large TrkA-positive neurons (Figs. 3A and 3C), most of them in groups embedded in a dense network of overlapping stained processes (Fig. 3A). The cells had heterogeneous shapes, ranging from complex multipolar to fusiform.

In the basal ganglia TrkA was detected in distinct cellular compartments. The caudate nucleus, the nucleus accumbens and the putamen contained several immunoreactive cell bodies without apparent distinction in density, perikaryal staining and shape.

Fig. 3D shows typical labeled multipolar neurons that displayed strong granular immunoreactivity around the nucleus and in proximal processes. Moreover, numerous puncta and varicose fiber fragments were observed in these areas. The globus pallidus and the claustrum were mostly negative except for varicose fibers. Similarly, the interstitial elements and fiber bundles did not contain reactive fibers whereas the internal capsule displayed some labeled punctas and fibers particularly near the putamen and caudate nucleus.

The hippocampal formation showed weak immunostaining located principally in scattered fibers and puncta in the stratum granulosum of the dentate gyrus, as well as in the stratum oriens and pyramidal of the Ammon's horn. In addition, some weakly stained perikarya could be observed in the stratum pyramidal of the CA2 and CA3 sub-fields of the Ammon's Horn and in the hilus of the dentate gyrus (CA4 sub-field, Fig. 3E). The perikarya of these neurons was relatively large in size, of ovoid to pyramidal shape, and bearing one prominent apical and radial dendritic process. The immunoreactivity appeared like in other stained cell types of the brain as small granular patches of precipitate located principally near the nuclear envelope and in some cases within the cytoplasm (Fig. 3E).

Within the cerebral cortex, particularly in the frontal area, TrkA immunoreactivity appeared more discrete. At high magnification, immunoreactive puncta and fiber fragments without a particular pattern of distribution are observed in all layers, but the laminae III-VI appeared more stained than superficial ones (Fig. 3H). Occasional, weakly staining, medium-sized perikarya were observed in layer IV (Fig. 3H).

In the brainstem TrkA staining is also detected. The pontine nuclei contained numerous immunoreactive medium sized globular perikarya and fibers between the pontocerebellar fibers (Fig. 3F). The reticular formation also displayed strong immunoreactivity for TrkA principally located in fiber networks (Fig. 3G). Some large neurons of bipolar or multipolar shape are also stained. No TrkA immunostaining was observed in the cerebellum.

10 **Functional agonism of mAb 5C3**

Several functional assays of NGF bioactivity were used to test the agonistic potential of mAb 5C3.

Receptor internalization

4-3.6 cells were treated with TrkA ligands at internalization permissive temperatures (37°C) or at non-permissive temperatures (4°C) (Table 2). NGF treatment reduced the % staining of mAb 5C3 to surface TrkA at both temperatures. Loss of surface 5C3 binding sites suggest direct blocking by NGF (Fig. 5). In contrast, mAb 5C3 treatment reduced the number of surface 5C3 binding sites only at 37°C. This is likely due to receptor internalization, which does not occur efficiently at 4°C. Treatment with mIgG or binding buffer control did not reduce the number of surface 5C3 binding sites at either temperature. Similar data was obtained with E25 cells.

Receptor phosphorylation

Anti-phosphotyrosine western blots of E25 or 4-3.6 whole cell detergent extracts revealed that TrkA phosphotyrosinylation (PY) increased significantly over basal levels after short treatment with mAb 5C3 or with NGF (Fig. 4).

E25 cells were untreated (lane 1) or treated with mAb 5C3 (lane 2), NGF (lane 3), or mIgG (lane 4) for 15 min. at 37°C. Whole cell lysates were resolved

in a 8% SDS-PAGE under reducing conditions and immunoblotted with anti-phosphotyrosine mAb 4G10. A parallel gel under non-reducing conditions immunoblotted with mAb 5C3 (not shown) controlled for  $M_r$  and equal loading of TrkA on all samples.

Densitometric analysis of several blots from E25 and 4-3.6 cells is presented in Table 4.

**TABLE 4**

**TrkA tyrosine phosphorylation by mAb 5C3**

TREATMENT	E25 cells	4-3.6 cells
mAb 5C3	$2.7 \pm 0.6$	$3.4 \pm 1.5$
NGF	$6.5 \pm 1.3$	$3.8 \pm 0.8$

E25 or 4-3.6 cells were untreated or treated with saturating concentrations of mAb 5C3 or NGF for 15 min. at 37°C. Whole cell lysates, or anti-PY immunoprecipitates were resolved by SDS-PAGE under reducing conditions, western transferred, immunoblotted with anti-phosphotyrosine ( $\alpha$ PY) mAb 4G10, and developed using ECL techniques. Optical density (O.D.) readings were taken from X-ray films with film backgrounds subtracted (see Materials and Methods). Data is presented as fold increase in PY of TrkA with respect to untreated cells  $\pm$  sd. n=3.

Other proteins evidence increased PY, including ~95 kDa and ~60 kDa proteins, and the p85 subunit of PI-3 kinase (~2.5-fold increase). We have estimated that <10% of all p85 material was tyrosine phosphorylated upon ligation of TrkA.

Increased cellular transformation

NGF treatment causes the transformation and an increase in anchorage-independent growth of TrkA-expressing E25 cells. MAb 5C3 caused a ~2-fold



increase in the number and the size of foci as compared with mIgG treated cells (Table 5).

**TABLE 5**

**5            MAb 5C3-induced anchorage-independent growth**

TREATMENT	AVERAGE NUMBER OF FOCI <sup>a</sup>	TYPICAL CELLS/FOCI <sup>a</sup>	FOLD INCREASE IN FOCI <sup>b</sup>
mIgG	416 ± 45	~24	1 ± 0.11
mAb 5C3	806 ± 178	>48	1.9 ± 0.22
NGF	676 ± 51	~24	1.6 ± 0.08

E25 cells were cultured in soft agar in the presence of the indicated agents for 2 weeks. <sup>a</sup>Average number and typical size of foci ± sd are shown. <sup>b</sup>Fold increase in foci was calculated with respect to mIgG treated cells (no increase). n=2.

No change in the number or size of foci was observed in wild type NIH-3T3 cells upon mAb 5C3 treatment.

Protection from cell death:

Agonistic ligands of TrkA protect receptor-expressing cells from death in serum-free media (SFM). Both NGF and mAb 5C3 and 5C3 fragments or derivatives increased the number of surviving/proliferating E25 fibroblastoid cells (Fig. 6) and 4-3.6 cells (Fig. 8).

Cells were cultured in Serum Free Media supplemented with the indicated conditions for 2-3 days, followed by the MTT assay. Similar data was obtained with neuronal 4-3.6 cells (Fig. 8). The % proliferation/survival was determined by standardizing serum containing wells to 100% with the use of the following formula:

$$\frac{(\text{O.D. of test})}{(\text{O.D. of serum})} \times 100\%$$

Equivalent protection was also afforded by TrkA ligands to neuronal 4-3.6 cells. In most experiments mAb 5C3 protection is dose-dependent, although high dose antibody inhibition is sometimes seen (e.g. 1  
5 mg/ml of mAb 5C3).

To ascertain whether cell death is apoptotic, DNA was prepared from serum-free cultured cells which showed a typical apoptotic fragmentation ladder. The DNA ladder was not seen in preparations from cells  
10 cultured in the presence of mAb 5C3 or NGF.

Controls demonstrated the functional specificity of mAb 5C3. First, neither NGF nor mAb 5C3 protected wild type NIH-3T3 cells. Second, PC12 cells were not protected by mAb 5C3 but were protected by  
15 NGF. Third, irrelevant mIgG, GamFab, or mAb 192 did not protect E25 cells (Fig. 6), or NIH-3T3 cells, or 4-3.6 cells (Fig. 8).

#### **Functional agonism of monomeric 5C3 Fabs**

Monovalent agents that bind TrkA behave as competitive antagonists (Clary, D.O. et al. (1994) *Mol. Biol. Cell.*, 5: 549-563; LeSauter, L. et al. (1995) *J. Biol. Chem.*, 270:6564-6569) likely because they can not induce receptor dimerization. Therefore, it would be expected that monomeric 5C3 Fabs would be  
25 monovalent and not be able to mediate agonistic function.

MAb 5C3 Fabs afforded protection from apoptotic death to E25 cells (Fig. 6) and 4-3.6 cells in serum-free media. Moreover, anti-phosphotyrosine western  
30 blots revealed that cells treated with 5C3 Fabs had increased TrkA tyrosine phosphorylation similar to increases obtained with whole mAb 5C3.

Monomeric 5C3 Fab protection was dose-dependent. However, equivalent or better protective effects were achieved when Fabs were externally  
35

cross-linked with GamFab antibodies. Specificity controls included those described in the previous section for whole mAb 5C3, plus 192 Fabs which had no protective activity in E25 cells.

5

### DISCUSSION

The availability of antibodies against p140 TrkA and p75 has allowed the study of these NGF receptors. The mAb 5C3 reported herein is specific for human TrkA, functions in FACScan immunofluorescence analysis, immunoprecipitation, western blot analysis, and immunocytochemistry. Moreover, mAb 5C3 is a structural and functional mimic of NGF.

Aberrant expression of *trkA* mRNA and NGF responsiveness have been correlated with neurodegenerative disorders and neoplastic malignancy. Hence, TrkA-binding agents will be useful clinical tools in diagnosis, prognosis and perhaps treatment of these diseases. Indeed, mAb 5C3 binding is a positive prognostic marker for certain human neoplasias.

20

Table 6

TrkA expression in neuroblastoma

Neuroblastomas*	Number	Positive	Mixed	Negative
Group 1	60	38	17	5
Group 2	53	13	5	35

\*15 samples repeated after chemotherapy, at the time of second surgery or recurrence: 5C3 staining patterns remained unchanged in 14 tumors; 1 negative tumor subsequently positive post chemotherapy in regions of maturing elements.

30

**Table 7**  
**TrkA expression in other malignant tumors**

<b>Malignant tumor</b>	<b>N=42</b>	<b>TrkA-Pos</b>
Central nervous system tumors	6	0
Rhabdomyosarcomas	5	0
Primitive neuroectodermal tumors	6	0
Ewing's sarcomas	2	0
Wilm's tumors	6	1
Osteosarcomas	4	0
Melanomas	5	0
Breast carcinomas	5	0
Lung carcinomas	3	0

5

**Table 8**  
**TrkA detection by immunocytochemistry, RT-PCR  
and western blot**

<b>IMMUNOCYTO.</b>	<b>N</b>	<b>RT-PCR Pos</b>	<b>N</b>	<b>West. Pos</b>
<b>Group 1</b>	<b>15</b>	<b>15</b>	<b>2</b>	<b>2</b>
5C3 Pos				
5C3 Neg	4	4	2	1
5C3 Mixed	1	1	10	10
<b>Group 2</b>	<b>11</b>	<b>11</b>	<b>11</b>	<b>11</b>
5C3 Pos				
5C3 Neg	11	4	9	5
5C3 Mixed	2	2	0	0

10            MAb 5C3 recognizes a disulfide-stabilized  
domain of TrkA and an extracellular epitope with these  
characteristics appears to be the NGF docking site.  
Cross-blocking studies indicated that mAb 5C3 and NGF  
can reciprocally block each other's binding to TrkA,  
15 further suggesting that the docking site of 5C3 may be  
similar to NGF. In addition, sequence comparison of  
both ligands revealed interesting homology between  
CDRs of mAb 5C3 and the variable turn regions of NGF.

Since most CDRs are  $\beta$ -turns (Sibanda, B.L. et al. (1989) *J. Mol. Biol.*, **206**: 759-777) and coincidentally the NGF structures that bind TrkA may also be  $\beta$ -turns (LeSauter, L. et al. (1995) *J. Biol. Chem.*, **270**: 6564-6569) we hypothesized that both mAb 5C3 and NGF bind to the same site on human TrkA, and cross-blocking is likely to be the result of direct competition rather than steric hindrance.

Interestingly, mAb 5C3 was more efficient at blocking NGF binding than vice versa. Only ~25% of the mAb 5C3 binding sites on E25 cells were blocked by saturating doses of NGF. This data suggest that not all TrkA receptors in these cell lines bind NGF. It is unlikely that affinity considerations can account for these observations, as both ligands have roughly comparable  $K_d$  for TrkA (mAb 5C3  $K_d$  ~2 nM versus NGF  $K_d$  0.7 nM (Jing, S. et al. (1992) *Neuron*, **9**: 1067-1079)) and the affinity of mAb 5C3 was unchanged in the presence of NGF.

Three non-exclusive possibilities can account for these observations: (i) TrkA receptors exist at equilibrium where ~25% are in an NGF binding conformation (e.g. dimers) and the rest are in a non-NGF binding conformation; (ii) specific post-translational modifications of TrkA receptors allow for NGF binding (iii) expression of other membrane proteins (e.g. p75 or unknown proteins) induce or favor the NGF binding conformation of TrkA. These hypotheses can be addressed by biochemical analysis after differential affinity purification of TrkA with mAb 5C3 versus NGF and by further binding studies in neuronal and fibroblastoid cells expressing different receptors.

Absence of mAb 5C3 binding to rat TrkA is intriguing. Binding by mAb 5C3 to rat TrkA was expected because of the homology between mAb 5C3 CDRs

- 27 -

and the variable loops of NGF, particularly since NGF from one species does bind to TrkAs from other species. MAb 5C3 is a binding and structural mimic of NGF, with enhanced human receptor specificity. Remodeling and mutating of the CDRs of mAb 5C3 will yield a pan-TrkA binding mAb. Further, analysis of the epitope of mAb 5C3 on TrkA revealed differences in the docking site of human and rat TrkAs. This information will be useful in screening receptor-binding analogs.

10 To test functional mimicry by mAb 5C3, NGF bioassays were performed using *trkA* transfected fibroblast and neuronal cells. Functional mimicry by mAb 5C3 included TrkA internalization, TrkA tyrosine phosphorylation, PI-3 kinase phosphorylation, increased anchorage-independent growth and proliferation/survival of cells in serum-free media. By these criteria mAb 5C3 is agonistic.

Increased TrkA receptor turnover or internalization is induced by NGF binding. MAb 5C3 increased the internalization of TrkA, as measured by loss of cell surface receptors. These results are consistent with data which showed that E25 cells internalize  $^{125}\text{I}$ [NGF] within seconds upon shifting from 4°C to 37°C (Jing, S. et al. (1992) *Neuron*, 9: 1067-1079) and that this process does not require p75 receptors. Thus, artificial ligands of TrkA can induce receptor internalization and could be useful in delivering toxic agents to the cytoplasm of TrkA-expressing tumors.

30 NGF ligation of TrkA causes receptor activation and autophosphorylation. MAb 5C3 induced TrkA tyrosine phosphorylation to a similar degree. Agonism in the absence of NGF suggests that TrkA dimerization and/or internalization are the required signaling event, rather than the formation of NGF-TrkA complexes. How-

ever, we can not rule out that mAb 5C3-TrkA is the functional signal transducing complex.

Ligand-induced tyrosine phosphorylation of the intracellular domain of TrkA allows for the recruitment of substrates and the activation of cytosolic proteins and nuclear oncoproteins. MAb 5C3 induces the tyrosine phosphorylation of proteins of  $M_r$  60, 85 and 95 kDa. The 85 kDa protein was identified as PI-3 kinase, whose activation correlates with the actions of growth factors and oncogenes.

NGF stimulates neuronal survival and differentiation, and the proliferation of non-neuronal cells. NGF-activated TrkA induces transformation and morphological changes in fibroblast cells. MAb 5C3 caused similar increases in anchorage-independent growth and foci formation in soft agar. Thus, mAb 5C3 can positively modulate the growth of TrkA-expressing cells. Interestingly, the size of the mAb 5C3-induced foci were larger on average than NGF-induced foci.

TrkA-expressing neuronal 4-3.6 cells or fibroblastoid E25 cells undergo apoptotic death in serum free media but can be rescued by NGF or mAb 5C3. Synergy between the two ligands occurred when combined at suboptimal doses, as would be expected if mAb 5C3 bound and activated unoccupied TrkA receptors. Furthermore, morphological changes and increased attachment to plastic were observed in both the NGF and 5C3 treated cells.

Monomeric 5C3 Fabs protected E25 and 4-3.6 cells from apoptotic death. When Fabs were externally cross-linked using anti-Fab antibodies, a heightened response occurred. Since growth factor receptor activation requires bivalent binding, the monomeric 5C3 Fabs must have retained the ability to induce TrkA oligomerization. This could be explained in the fol-

lowing 3 ways: (i) Fabs are relatively large molecules capable of aggregation; (ii) 5C3 Fab binding could cause conformational changes in TrkA which induce receptor-receptor interactions; and (iii) monomeric 5C3 Fabs bind to two receptor molecules in a bivalent manner. The last possibility could occur by two CDRs binding to two different TrkAs. Homology of mAb 5C3 CDRs to NGF turn regions, and experiments using small recombinant antibody analogs support the last explanation.

MAb 5C3 is the first report of an agonistic anti-neurotrophin receptor mAb and will be useful in studies of TrkA biology and for drug development. Antineoplastic effects with mAb 5C3 may be achieved either through terminal differentiation, antibody-dependent cell cytotoxicity, or by the delivery of toxins or radionuclides. Furthermore, the structure of this mAb may be useful in designing peptidic and non-peptidic TrkA binding agents (Saragovi, H.U. et al. (1991) *Science*, **253**: 792-795). Small non-peptidic agonists of TrkA should be useful pharmacological agents for the treatment of neurodegenerative diseases.

The present invention will be more readily understood by referring to the following examples which are given to illustrate the invention rather than to limit its scope.

#### EXAMPLE I

##### **PRELIMINARY STUDY OF THE EFFECT OF mAb 5C3 IN TUMOR GROWTH**

Nude mice were injected subcutaneously (right abdominal side) with  $2 \times 10^6$  human TrkA expressing tumor cells. Two days post-injections tumors in all mice had begun to form. Mice were randomized prior to treatment. A total of four intraperitoneal injections of 100 micrograms each on the left side were then admin-



istered over a 12 day period with control mouse IgG or mAb 5C3 (Fig. 10).

The mAb 5C3 dramatically reduced the primary tumor weight with no observable metastatic invasion. A small fibrotic mass was localized at the site of injection in mAb 5C3 treated mice. In contrast, IgG treated mice had large, vascularized tumor masses, which metastasized to the liver, peritoneum gut and spleen. All animals had similar body weights (~30 grams).

Table 9

TREATMENT	PRIMARY TUMOR WEIGHT (mg)	METASTASIS WEIGHT (mg)
5C3 mAb	50 ± 20 (fibrotic)	NONE
mouse IgG	800 ± 250	350 ± 20

EXAMPLE II

**The use of Mab 5C3 and its derivatives for the diagnosis, prognosis and localization of tumors**

The in vivo targeting efficacy of agents that bind the NGF receptor p140 TrkA was evaluated. Nuclear imaging studies were done after the injection of <sup>99m</sup>Tc-labeled compounds in nude mice bearing tumors. Kinetics of tumor targeting, blood clearance, and bioavailability were studied. Tumors that do not express TrkA were not targeted, demonstrating the specificity in vivo. This biodistribution study demonstrates that receptor-specific molecule analogs may be useful and may be effective agents for the detection, diagnosis, and possible treatment of neoplasias involving overexpressed oncogenic receptors such as TrkA (Fig. 7).

Table 10

Biodistribution of  $^{99m}\text{Tc}$ -[TrkA ligands] in mice.

99mTc-[5C3]			
	Ligand	% id/g	T/nT
1	tumor	1.25	1
2	blood	0.1	13
3	muscle	0.06	20
4	heart	0.10	13
5	lung	0.17	7.30
6	liver	0.61	2.10
7	spleen	0.13	9.42
8	kidney	1.48	0.9
9	large bowel	2.2	0.7

### EXAMPLE III

5 5C3 PROTEIN SEQUENCE

Kappa light chain

DILQTS PAIL SASPGEKVMTTCRASSSVSYMHWYQQKPGSSPKPWIYATSNLA  
SGVPARFSGSGSGTSYSLTISRVEAEDAATYYCQOWSSNPLTFGAGTKLEI

(SEQ ID NO:1)

10 Heavy chain (IgG2a)

VQLQESGTVLARPGASVKMSCKASGYTFTSYWMHWVKQRPQGGLWIGAIYPGDS  
DTSYNQFKFGKGLTAVTSTSTAYMELSSLTNEDSAVYYCTLYGNYESYYAMDYW  
GOGILSHRLL (SEQ ID NO:2)

(SEQ ID NO: 2)

15 Complementarity Determining regions (according to Kabat, Sequences of Proteins of Immunological Interest, 4th ed. Bethesda, MD, U.S. Dept. of Health and Human Services. Public Health, NIH 1987)

20 Kappa variable light chain

VL CDR1: RASSSVSYMH

(SEQ ID NO:3)

VL CDR2: ATSNLAS

(SEQ ID NO:4)

VL CDR3: QQWSSNPLT

(SEQ ID NO:5)

## Heavy chain variable (IgG2a)

VH CDR1: SYWMH (SEQ ID NO:6)

VH CDR2: AIYPGDSDTSYNQKFKG (SEQ ID NO:7)

VH CDR3: YGNYESYYAMDY (SEQ ID NO:8)

5

Antibodies have 6 complementarity determining regions (CDRs) that combine in specific ways to create direct contacts with their antigen. Molecular cloning, recombination, mutagenesis and modeling studies of mAb 5C3 variable region indicated that 3 or less of its CDRs are relevant for binding TrkA. These were named CDR1, CDR2, and CDR3. Region CDR1 is connected to CDR2 by a 15 amino acid linker; CDR2 is connected to CDR3 by a 30 amino acid linker. Their secondary structures have been analyzed.

15

The variable domains of mAb 5C3 heavy (VH) and light (LH) chains were cloned and sequenced. The predicted variable domain amino acid sequences are shown above.

20

The hypervariable complementarity determining regions (CDRs) were resolved according to Kabat (*Sequences of Proteins of Immunological Interest*, 4th ed. Bethesda, MD, U.S. Dept. of Health and Human Services. Public Health, NIH 1987).

25

An example of the model of the structure of the CDRs (Insight II™, Biosym, CA) within the backbone of the variable domains is shown in Fig. 11.

EXAMPLE IV

30

**MAB 5C3 AND DERIVATIVES: STRUCTURAL AND FUNCTIONAL MIMICS OF NGF**

Several criteria indicate that mAb 5C3 is a TrkA agonist. Previous functional studies in vitro demonstrated that mAb 5C3 can: (i) induce tyrosine phosphorylation of TrkA, and PI3-kinase; (ii) increase

35

transformation of TrkA-expressing fibroblasts. Shown above are also experiments where the 5C3 and its derivatives protect cells from apoptotic death in serum-free media to the same degree as NGF does.

5 Monovalent Fabs of 5C3 obtained after papain digestion are also agonistic, especially when externally cross-linked by anti-Fabs. A smaller fragment of mAb 5C3 called CDR(R) also protects cells from apoptosis (Fig. 8).

10 Assays with recombinant CDRs and CDR-like synthetic polypeptides demonstrated they had agonistic bioactivities similar to intact mAb 5C3. Consequently a 6 kDa recombinant molecule "CDR(R)" (for recombinant CDR) was developed as a replacement for mAb 5C3; it is  
15 approximately five times smaller than the 25 kDa NGF molecule and is still agonistic. CDR(R) is composed of 3 selected CDRs (out of 6 possible ones) linked by long spacer regions. Preliminary studies have suggested that actually only 2 of the 3 CDRs are  
20 relevant for binding to TrkA. Further, it is expected that even smaller fragments can be designed, e.g. upon removal of the linker regions.

4-3.6 cells transfected with human TrkA were cultured in serum-free-media (SFM) to induce apoptosis  
25 (Fig. 8). The indicated agents were added to the cells and cell viability was measured after 48-72 h by the MTT assay. Proliferation was standardized to normal growth conditions (5% serum). NGF, mAb 5C3, 5C3 Fabs, and CDR(R) (recombinant 5C3 CDR analog)  
30 protect from apoptosis, but mouse IgG (control) does not.

#### EXAMPLE V

##### **Use of Mab 5C3**

35 The mAb 5C3 of the present invention and its derivatives induce differentiation/neuritogenesis of

human TrkA-expressing cells. PC12 cells (expressing rat TrkA) and PC12 cells transfected with and expressing human *trkA* cDNA were cultured with various TrkA binding agents or controls (Fig. 9) as indicated

5 NGF caused the differentiation of wild type PC12 and PC12 transfectants, whereas SC3 and CDR(R) only induced differentiation of PC12 transfectants. Ligand doses are as shown in Fig. 8.

Further modifications of CDR(R) resulted in

10 agents that support cell survival but not neuritogenesis.

While the invention has been described in connection with specific embodiments thereof, it will be understood that it is capable of further modifications

15 and this application is intended to cover any variations, uses, or adaptations of the invention following, in general, the principles of the invention and including such departures from the present disclosure as come within known or customary practice within the

20 art to which the invention pertains and as may be applied to the essential features hereinbefore set forth, and as follows in the scope of the appended claims.

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

## (i) APPLICANT:

(A) NAME: MCGILL UNIVERSITY  
(B) STREET: 845 Sherbrooke Street West  
(C) CITY: Montreal  
(D) STATE: Quebec  
(E) COUNTRY: Canada  
(F) POSTAL CODE (ZIP): H3A 1B1  
(G) TELEPHONE: 514-398-4200  
(H) TELEFAX: 514-398-8479

(A) NAME: SARAGОВI, H. Uri  
(B) STREET: 1100 Dr. Penfield, Apt. 718  
(C) CITY: Montreal  
(D) STATE: Quebec  
(E) COUNTRY: Canada  
(F) POSTAL CODE (ZIP): H3A 1A8

(A) NAME: LESAUTEUR, Lynne  
(B) STREET: 210 Coronation  
(C) CITY: Rosemere  
(D) STATE: Quebec  
(E) COUNTRY: Canada  
(F) POSTAL CODE (ZIP): J7A 1P3

(ii) TITLE OF INVENTION: DESIGN OF HORMONE-LIKE ANTIBODIES  
WITH AGONISTIC AND ANTAGONISTIC FUNCTIONS

(iii) NUMBER OF SEQUENCES: 9

## (iv) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk  
(B) COMPUTER: IBM PC compatible  
(C) OPERATING SYSTEM: PC-DOS/MS-DOS  
(D) SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPO)

## (vi) PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER: GB 9525180.7  
(B) FILING DATE: 08-DEC-1995

## (2) INFORMATION FOR SEQ ID NO: 1:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 105 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS:  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

- 36 -

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

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Asp Ile Leu Gln Thr Gln Ser Pro Ala Ile Leu Ser Ala Ser Pro Gly
1           5           10           15
Glu Lys Val Thr Met Thr Cys Arg Ala Ser Ser Ser Val Ser Tyr Met
          20           25           30
His Trp Tyr Gln Gln Lys Pro Gly Ser Ser Pro Lys Pro Trp Ile Tyr
          35           40           45
Ala Thr Ser Asn Leu Ala Ser Gly Val Pro Ala Arg Phe Ser Gly Ser
          50           55           60
Gly Ser Gly Thr Ser Tyr Ser Leu Thr Ile Ser Arg Val Glu Ala Glu
65           70           75           80
Asp Ala Ala Thr Tyr Tyr Cys Gln Gln Trp Ser Ser Asn Pro Leu Thr
          85           90           95
Phe Gly Ala Gly Thr Lys Leu Glu Ile
          100          105

```

## (2) INFORMATION FOR SEQ ID NO: 2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 120 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS:
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

```

Val Gln Leu Gln Glu Ser Gly Thr Val Leu Ala Arg Pro Gly Ala Ser
1           5           10           15
Val Lys Met Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Ser Tyr Trp
          20           25           30
Met His Trp Val Lys Gln Arg Pro Gly Gln Gly Leu Glu Trp Ile Gly
          35           40           45
Ala Ile Tyr Pro Gly Asp Ser Asp Thr Ser Tyr Asn Gln Lys Phe Lys
          50           55           60
Gly Glu Ala Lys Leu Thr Ala Val Thr Ser Thr Ser Thr Ala Tyr Met
65           70           75           80

```

- 37 -

Glu Leu Ser Ser Leu Thr Asn Glu Asp Ser Ala Val Tyr Tyr Cys Thr  
85 90 95  
Leu Tyr Gly Asn Tyr Glu Ser Tyr Tyr Ala Met Asp Tyr Trp Gly Gln  
100 105 110  
Gly Ile Leu Ser His Arg Leu Leu  
115 120

## (2) INFORMATION FOR SEQ ID NO: 3:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 10 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS:
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

Arg Ala Ser Ser Ser Val Ser Tyr Met His  
1 5 10

## (2) INFORMATION FOR SEQ ID NO: 4:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 7 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS:
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

Ala Thr Ser Asn Leu Ala Ser  
1 5

## (2) INFORMATION FOR SEQ ID NO: 5:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 9 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS:
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein



- 38 -

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

Gln Gln Trp Ser Ser Asn Pro Leu Thr  
1 5

(2) INFORMATION FOR SEQ ID NO: 6:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 5 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS:  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

Ser Tyr Trp Met His  
1 5

(2) INFORMATION FOR SEQ ID NO: 7:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 17 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS:  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:

Ala Ile Tyr Pro Gly Asp Ser Asp Thr Ser Tyr Asn Gln Lys Phe Lys  
1 5 10 15

Gly

(2) INFORMATION FOR SEQ ID NO: 8:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 12 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS:  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

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## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:

Tyr Gly Asn Tyr Glu Ser Tyr Tyr Ala Met Asp Tyr  
 1 5 10

## (2) INFORMATION FOR SEQ ID NO: 9:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 131 amino acids

(B) TYPE: amino acid

(C) STRANDEDNESS:

(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:

Gln Val Asn Val Ser Phe Pro Ala Ser Val Gln Leu His Thr Ala Val  
 1 5 10 15  
 Glu Met His His Trp Ser Ile Pro Phe Ser Val Asp Gly Gln Pro Ala  
 20 25 30  
 Pro Ser Leu Arg Trp Leu Phe Asn Gly Ser Val Leu Asn Glu Thr Ser  
 35 40 45  
 Phe Ile Phe Thr Glu Phe Leu Glu Pro Ala Ala Asn Glu Thr Val Arg  
 50 55 60  
 His Gly Cys Leu Arg Leu Asn Gln Pro Thr His Val Asn Asn Gly Asn  
 65 70 75 80  
 Tyr Thr Leu Leu Ala Ala Asn Pro Phe Gly Gln Ala Ser Ala Ser Ile  
 85 90 95  
 Met Ala Ala Phe Met Asp Asn Pro Phe Glu Phe Asn Pro Glu Asp Pro  
 100 105 110  
 Ile Pro Asp Thr Asn Ser Thr Ser Gly Asp Pro Val Glu Lys Lys Asp  
 115 120 125  
 Glu Thr Pro  
 130

**WE CLAIM:**

1. An antibody or functional fragment thereof which binds to at least the trkA receptor under physiological conditions, and wherein said binding to said receptor at least partially mimics or inhibits nerve growth factor biological activity.
2. A method of screening pharmacological agents which are capable to mimic or inhibit nerve growth factor biological activity, which comprises using the antibody of claim 1 to screen for pharmacological agents capable of binding to the complementary determining region of said antibody, wherein said screened pharmacological agents can mimic or inhibit nerve growth factor biological activity.
3. Use pharmacological agents obtained by the process of claim 2, for the *in vivo* inhibition of nerve growth factor binding to TrkA receptor or the internalization or downmodulation of said receptor.
4. Use of the antibody of claim 1, for the *in vivo* inhibition of nerve growth factor binding to TrkA receptor or the internalization or downmodulation of said receptor.
5. The use of claim 3 or 4, for inhibiting tumor growth *in situ*.
6. The use of claim 3 or 4, for the treatment or prevention of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors.
7. The use of the antibody of claim 1, for mapping hormone-receptor interactive sites and receptor domain-function correlation.

8. The use of the antibody of claim 1, for mapping trkA docking site.

9. The use of claims 7 and 8, for screening pharmacological agents which binds to said hormone-receptor interactive sites.

10. The use of the antibody of claim 1, for inducing partial nerve growth factor-like responses by cells expressing TrkA.

11. The use of claim 10, wherein said responses are selected from differentiation/neuritogenesis function and trophic function.

12. An antibody having CDR-like domains of hormones, wherein said antibody or functional fragment thereof binds to at least trkA receptor under physiological conditions, and wherein said binding to said receptor at least partially mimics or inhibits nerve growth factor biological activity.

13. An antibody having at least partial activity or binding of hormones, wherein said antibody or functional fragment thereof binds to at least trkA domain IgG2 under physiological conditions, and wherein said binding to said domain at least partially mimics or inhibits nerve growth factor biological activity.

14. A method for the treatment of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors in a patient, which comprises administering an effective amount of an antibody of claim 1 or a functional fragment thereof to a patient.

15. A pharmaceutical composition for the treatment of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors, which comprises an effective amount of an antibody of claim 1 or a functional fragment thereof in association with a pharmaceutically acceptable carrier.

16. A method for immunization of a mammal against an antibody of claim 1 or a functional fragment thereof, which comprises administering by systemic injection an immunizing amount of at least one of the antibody or the fragment thereof in an immunogenic form in association with a pharmaceutically acceptable carrier.

17. A method for the prognosis or diagnosis of human tumor which comprises:

a) biopsy and immunocytochemistry of tumors using the antibody of claim 12 and fragments thereof;  
or

b) radiolabeling of the antibody of claim 12 and fragments thereof and nuclear imaging analysis.

18. A method for the treatment of human tumor of a patient which comprises the steps of:

a) coupling cytotoxic agents to the antibody of claim 12 and fragments thereof;  
b) administering the coupled antibody of step a) to said patient.

19. A pharmaceutical composition for the targeting of pharmaceutical agents to tissues of the central and/or peripheral nervous system, which comprises an effective amount of an antibody of claim 1 or a functional fragment thereof coupled to a pharmaceutical

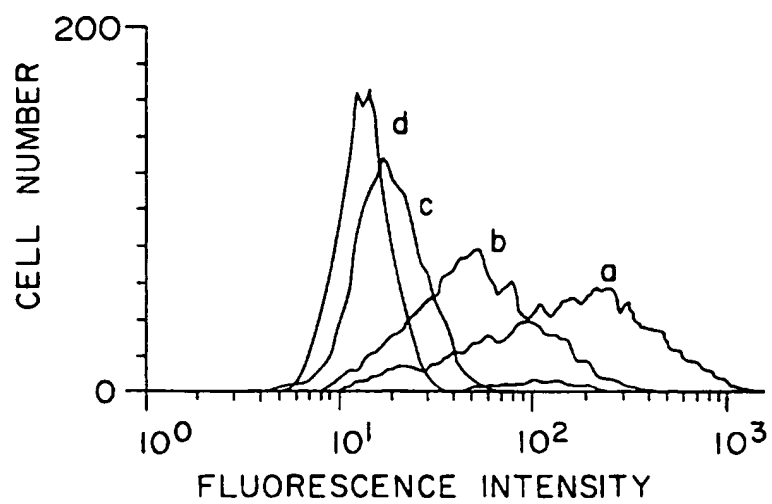
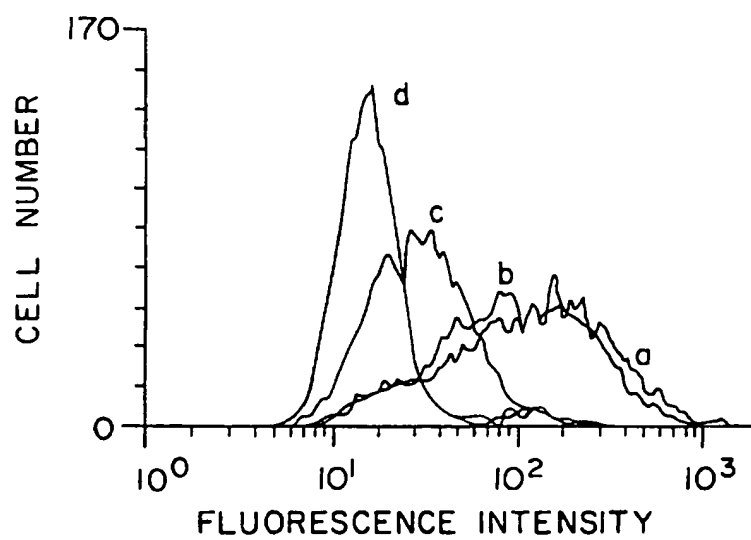
agent in association with a pharmaceutically acceptable carrier.

20. The pharmaceutical composition of claim 19, wherein the pharmaceutical agent is selected from the group consisting of radioligands, nucleic acid molecules, toxins, growth factors and gangliosides.

21. A TrkA docking site which binds to the antibody of claim 1.

22. Use of the docking site of claim 21 for screening pharmacological agents which are capable to mimic or inhibit nerve growth factor biological activity and said antibody biological antibody.

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FIG. 1AFIG. 1B

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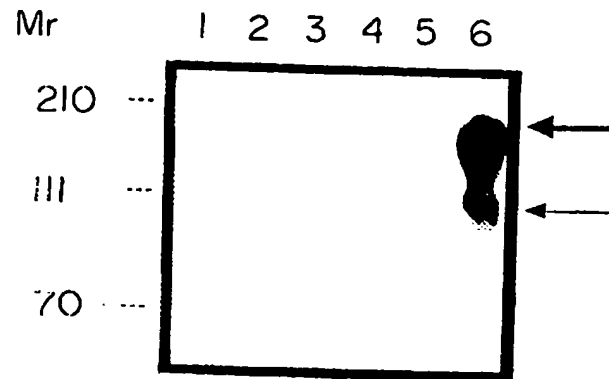


Fig. 2A

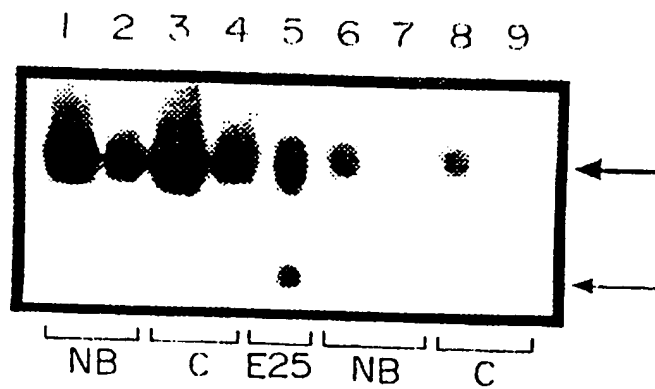
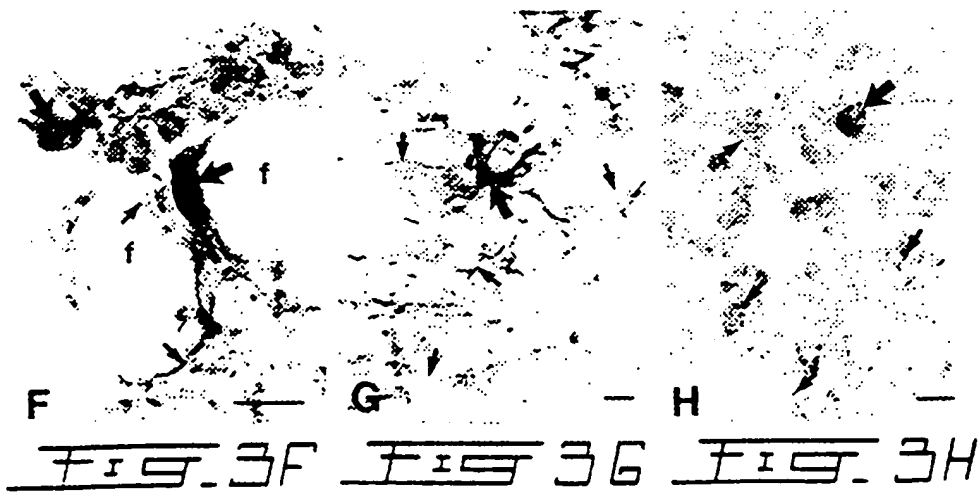
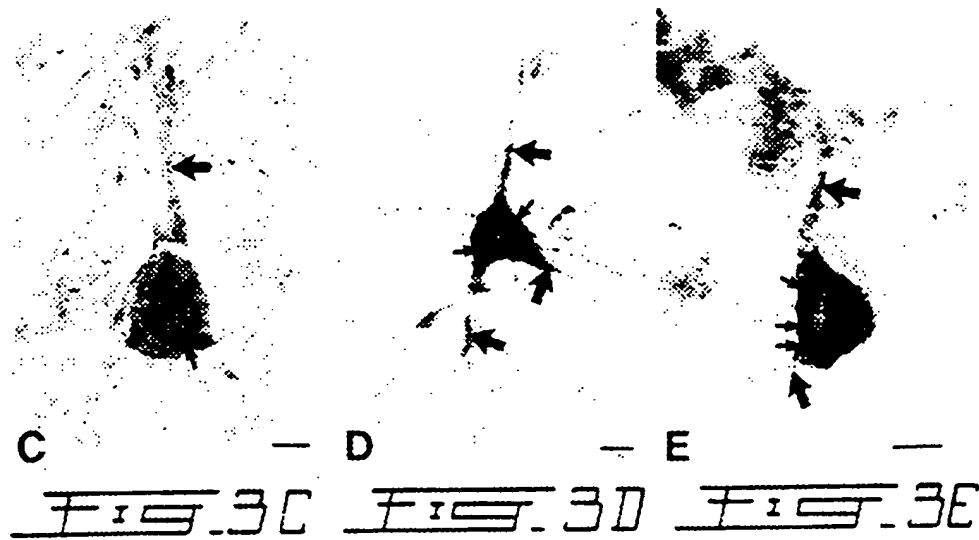
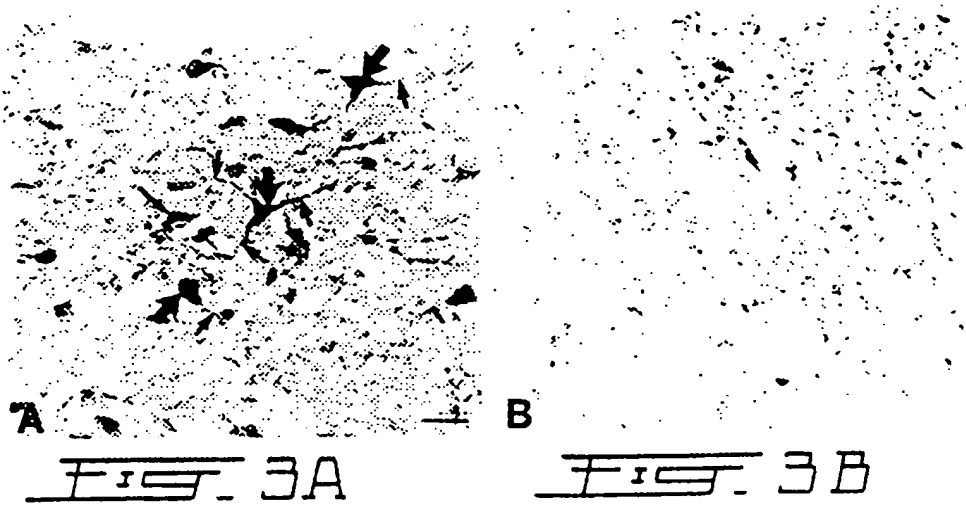


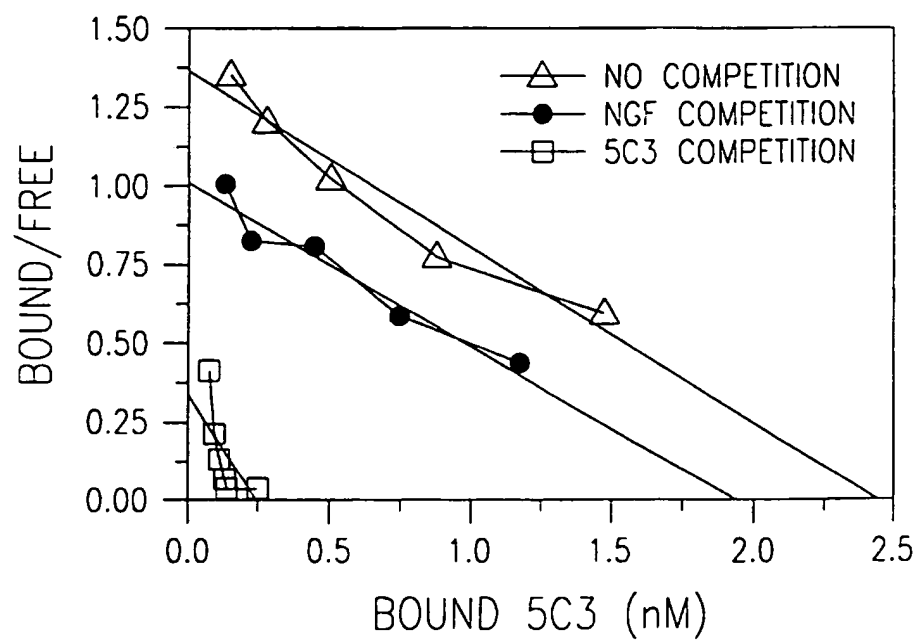
Fig. 2B



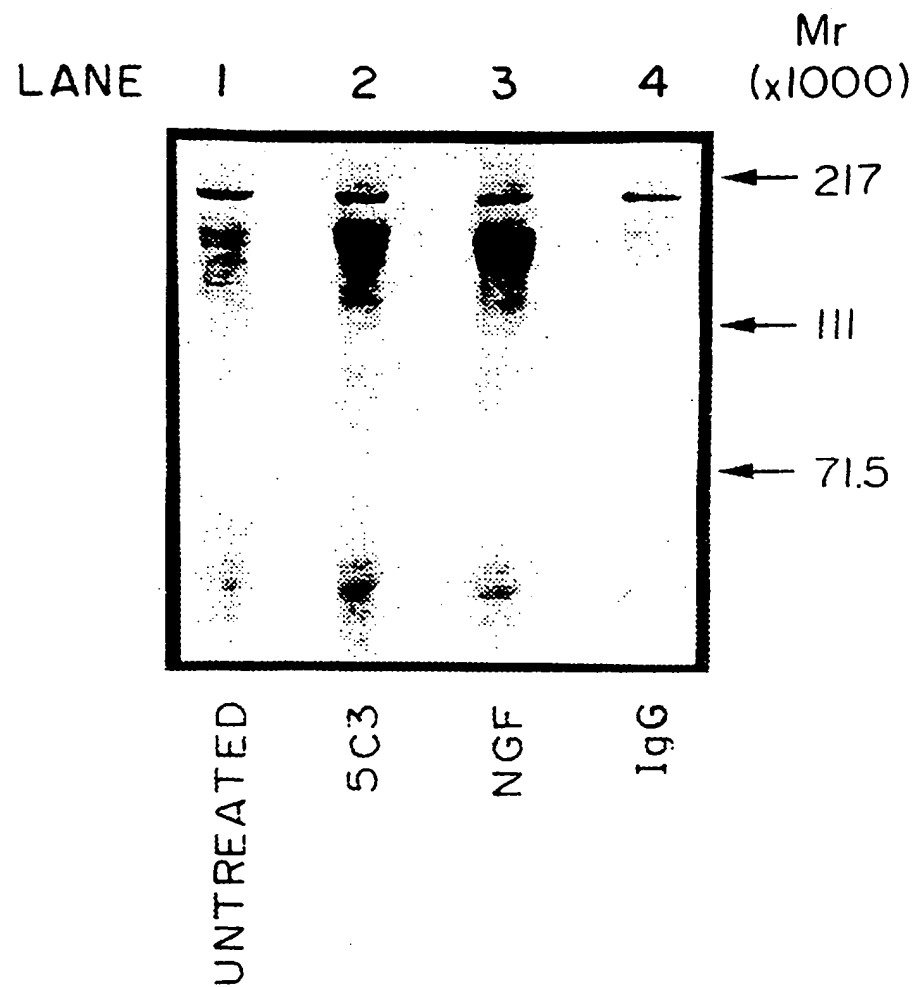
3/11

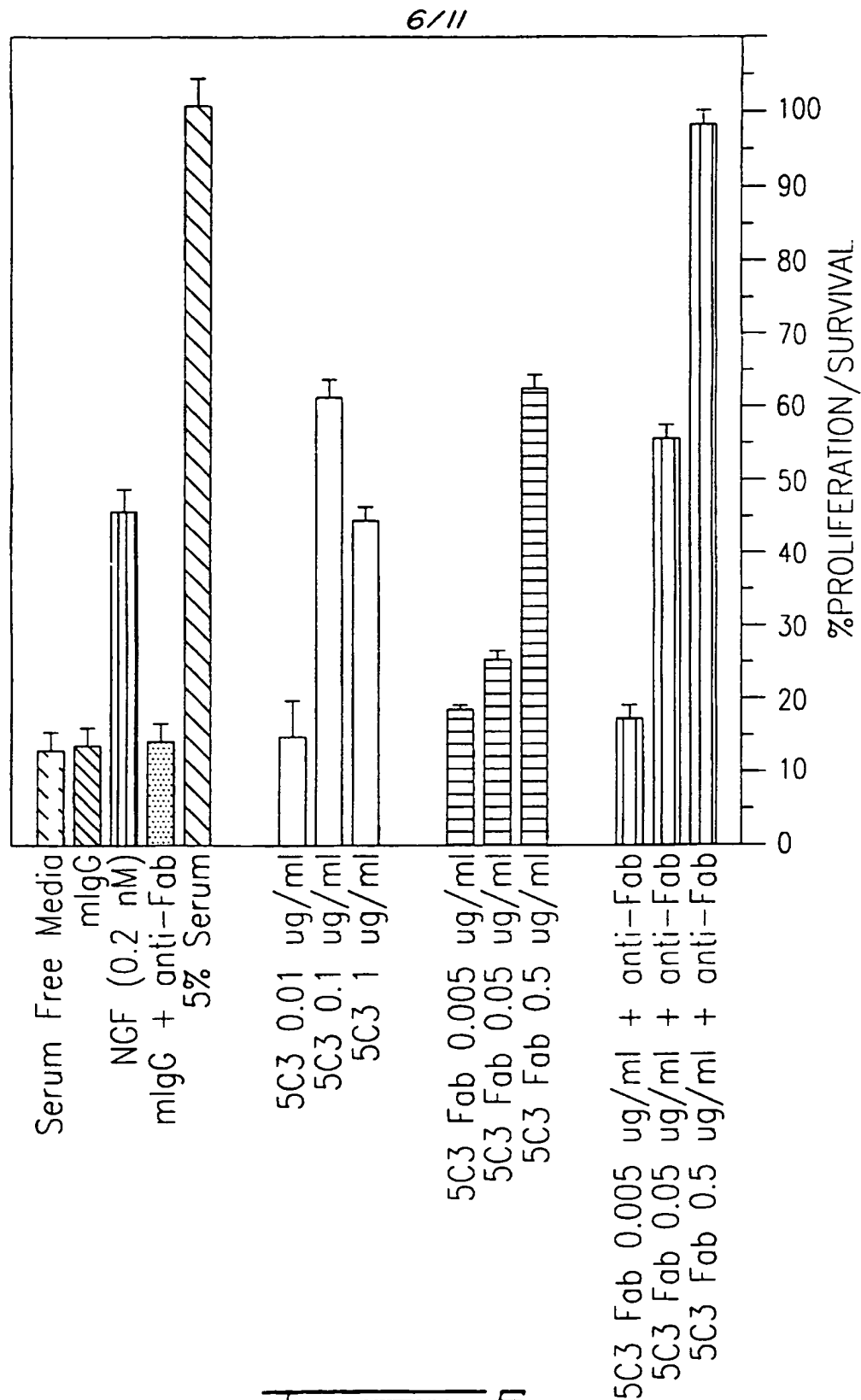


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FIG. 4

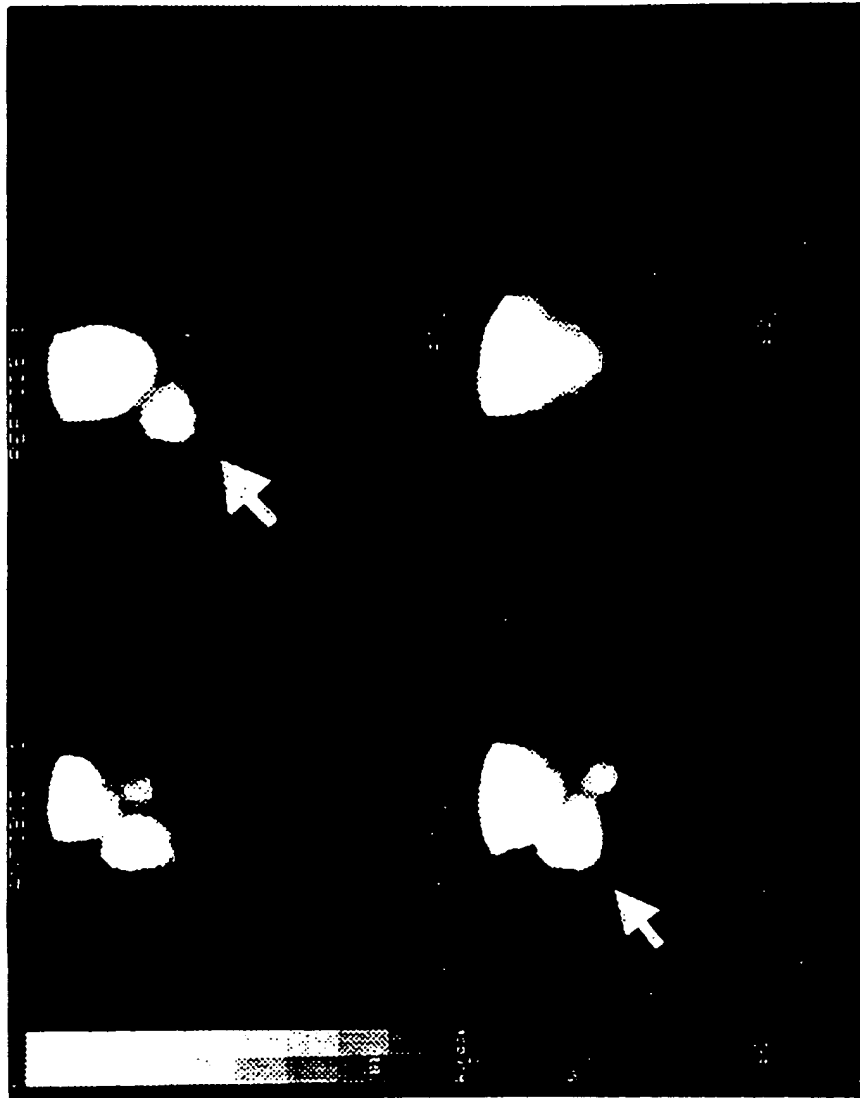
5/11

FIG. 5



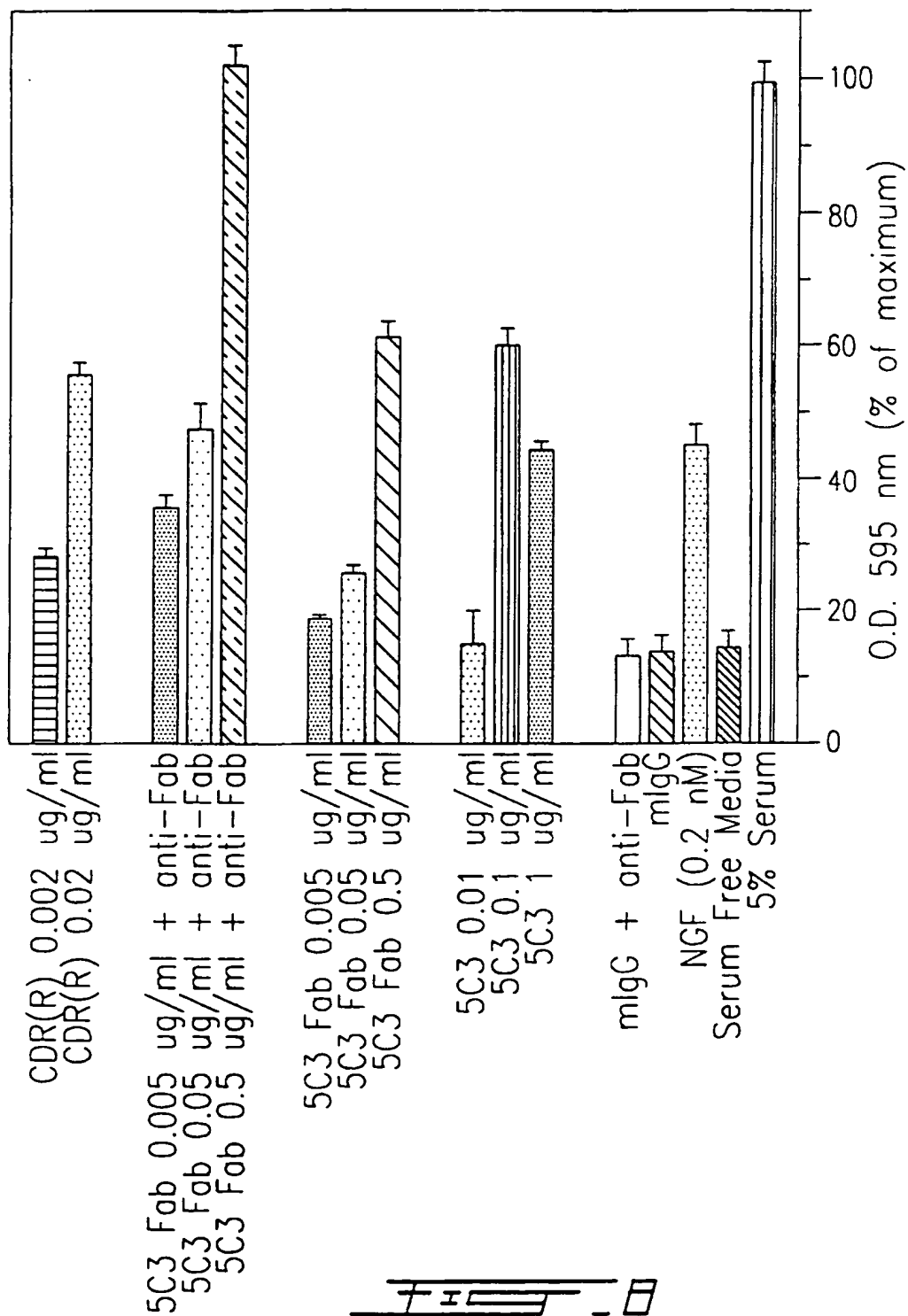
F I S - 6

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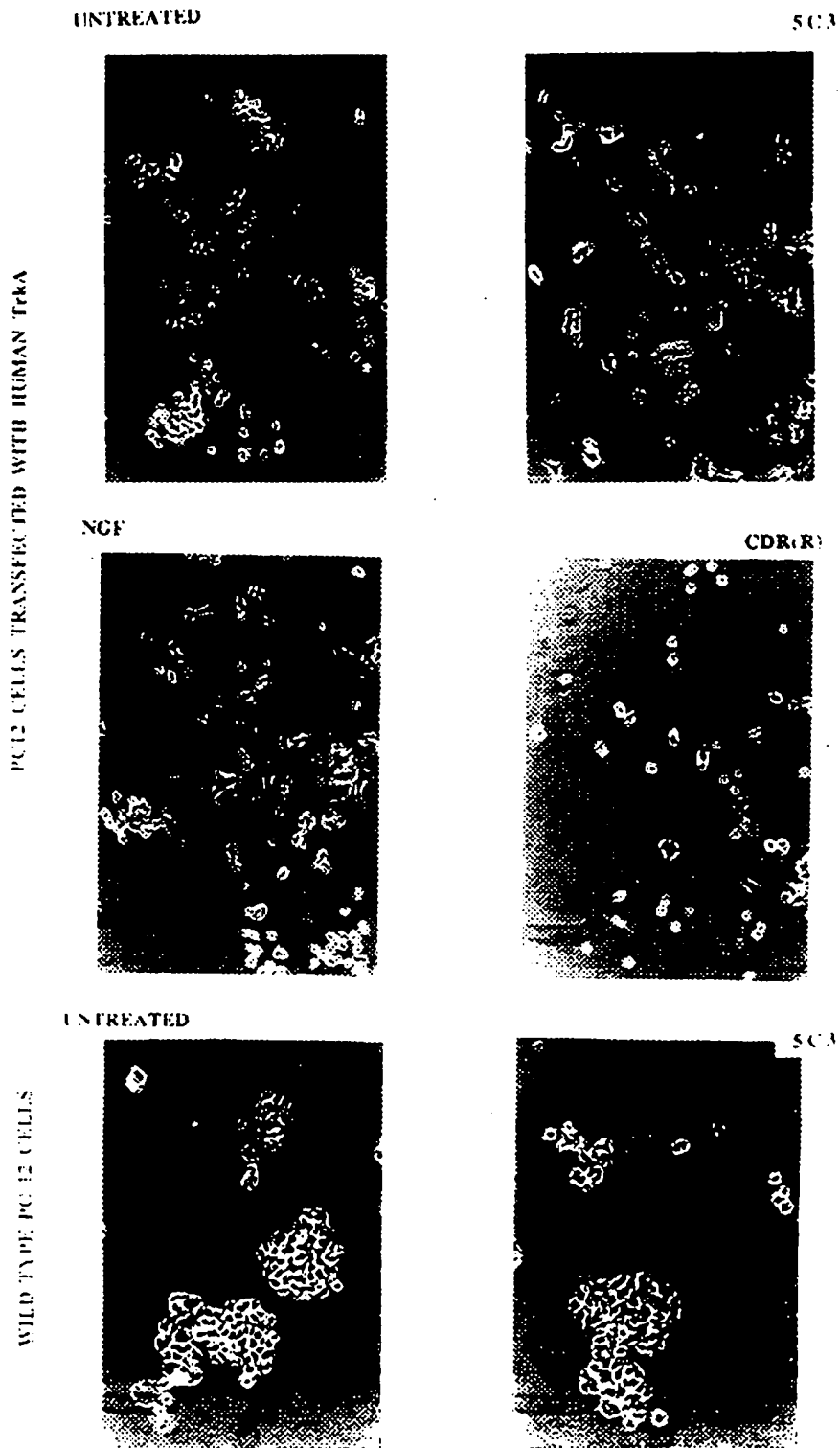


FIG. 9

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# Mab 5C3 prevents TrkA-Expressing Tumor growth *in vivo*



TREATMENT (3*100ug I.P.)	MOUSE WEIGHT (g)	PRIMARY TUMOR WEIGHT (mg)	METASTATIC TUMOR WEIGHT (mg)
5C3	37.4 35.8	50 (fibrotic)	none
mIgG	39.1 39.2	1200 400	300 300

FIG. 10



SPACE FILLING  
MODEL OF CDRs



mAb 5C3  
VARIABLE  
DOMAIN  
BACKBONE



FIG. 11

///

# INTERNATIONAL SEARCH REPORT

Int onal Application No  
PCT/CA 96/00815

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 C07K16/28 G01N33/577 A61K38/17 A61K39/395 A61K51/10 A61K47/48 C07K14/71 G01N33/68		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 C07K G01N A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 15180 A (THE REGENTS OF THE UNIVERSITY OF CALIFORNIA) 8 June 1995 see examples see claims ---	1-20
X	PROCEEDINGS OF THE AMERICAN ASSOCIATION FOR CANCER RESEARCH, vol. 36, March 1995, USA, page 24 XP002027138 K. KRAMER ET AL.: "TrkA is useful for differential diagnosis and prognostication of human neuroblastoma (NB)." see abstract 142 ---	1,7,8, 12,17
A	EP 0 388 914 A (BEHRINGWERKE AG) 26 September 1990 see table 7 see claims ---	1,12
-/--		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family		
Date of the actual completion of the international search  7 March 1997		Date of mailing of the international search report  25. 03. 97
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. ( + 31-70) 340-2040, Tx. 31 651 epo nl, Fax: ( + 31-70) 340-3016		Authorized officer  Nooij, F

# INTERNATIONAL SEARCH REPORT

Int. Application No.  
PCT/CA 96/00815

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 270, no. 12, 24 March 1995, BALTIMORE, MD, USA, pages 6564-6569, XP002027139 L. LESAUTEUR ET AL.: "Small peptide mimics of nerve growth factor bind TrkA receptors and affect biological responses." cited in the application see abstract</p> <p style="text-align: center;">---</p>	1-22
A	<p>NATURE, vol. 350, no. 6320, 25 April 1991, LONDON, GB, pages 678-683, XP002027140 B. HEMPSTEAD ET AL.: "High-affinity NGF binding requires coexpression of the trk proto-oncogene and the low-affinity NGF receptor." see figure 7 see page 678</p> <p style="text-align: center;">---</p>	1-22
A	<p>EUROPEAN JOURNAL OF IMMUNOLOGY, vol. 25, no. 10, October 1995, WEINHEIM, GERMANY, pages 2870-2876, XP000645296 P. MCDONALD ET AL.: "CD30 ligation induces nuclear factor-kappaB activation in human T cell lines." see abstract</p> <p style="text-align: center;">---</p>	1-22
P,X	<p>THE JOURNAL OF NEUROSCIENCE, vol. 16, no. 4, 15 February 1996, NEW YORK, NY, USA, pages 1308-1316, XP000645295 L. LESAUTEUR ET AL.: "Potent human p140-TrkA agonists derived from an anti-receptor monoclonal antibody." see the whole document</p> <p style="text-align: center;">---</p>	1-22
P,X	<p>CLINICAL CANCER RESEARCH, vol. 2, no. 8, August 1996, pages 1361-1367, XP000645271 K. KRAMER ET AL.: "Prognostic value of TrkA protein detection by monoclonal antibody 5c3 in neuroblastoma." see page 1366, right-hand column, line 6 - line 42</p> <p style="text-align: center;">---</p>	1-22
	-/--	

# INTERNATIONAL SEARCH REPORT

Int. Patent Application No.  
PCT/CA 96/00815

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>NATURE BIOTECHNOLOGY, vol. 14, no. 9, September 1996, NEW YORK, NY, USA, pages 1120-1122, XP000645285 L. LESAUTEUR ET AL.: "Small molecule nerve growth factor analogs image receptors in vivo." see the whole document -----</p>	<p>1,7-9, 17,19,20</p>

# INTERNATIONAL SEARCH REPORT

national application No.

PCT/CA 96/00815

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 3-6, 14, 16, 18, 10, 11  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 3-6, 14, 16, and 18 (all completely); and claims 10, 11 (both partially, as far as an in vivo method is concerned) are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 96/00815

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9515180 A	08-06-95	AU 1262095 A	19-06-95
EP 388914 A	26-09-90	DE 3909799 A	27-09-90
		AT 140486 T	15-08-96
		AU 628948 B	24-09-92
		AU 5215090 A	08-11-90
		CA 2012993 A	24-09-90
		DE 59010418 D	22-08-96
		EP 0727435 A	21-08-96
		EP 0727436 A	21-08-96
		ES 2090052 T	16-10-96
		JP 3002200 A	08-01-91
		PT 93536 B	29-03-96